

09/508713

EXPRESS MAIL NO. EL624023489US

PATENT

DATE OF DEPOSIT: July 7, 2000

Attorney Docket No. 084527-000000US

I hereby certify that this is being deposited with the United States Postal Service "Epress Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Attn: Box PCT, Assistant Commissioner for Patents, Washington, D.C. 20231.

TOWNSEND and TOWNSEND and CREW LLP

By

Sunil Dutt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. National Phase of:  
PCT/AU98/00769 of:

Glenn Norman Dickins, et al.

Application No.: 09/508,713

Filed: Date not yet Assigned

For: UTILIZATION OF FILTERING  
EFFECTS IN STEREO HEADPHONE  
DEVICES TO ENHANCE  
SPATIALIZATION OF SOURCE  
AROUND A LISTENER

Examiner: Unassigned

Art Unit: Unassigned

TRANSMITTAL LETTER -  
RESPONSE TO NOTIFICATION OF  
MISSING REQUIREMENTS UNDER  
35 U.S.C. 371

Attn: Box PCT  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) dated May 9, 2000, enclosed are the following to be made of record in the above-identified application:

- 1) Executed Declaration and Power of Attorney
- 2) Petition to Extend Time
- 3) Copy of Notification of Missing Requirements Under 35 U.S.C. 371

Please charge Deposit Account No. 20-1430 for the following fees:

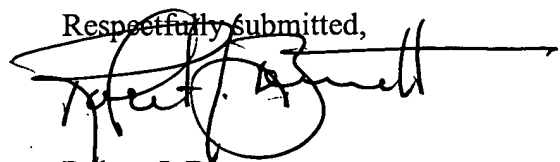
(a) Missing Parts Surcharge \$130.00

**TOTAL FEES TO BE CHARGED** \$130.00

Please note that the address of one of the inventors, Richard James Cartwright, has changed since the preparation of the Declaration. That address is now 35 Alexander Street, Alexandria, NSW 2015, Australia. A Declaration attesting to this change of address will be executed by said inventor and filed to that effect.

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 20-1430. This Transmittal Letter is submitted in triplicate.

Respectfully submitted,



Robert J. Bennett  
Reg. No. 27,533

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RJB/sb  
rev. 4/00  
SF 150886 v1  
SF 1112860 v1

084527-0000000

RJB



UNITED STATES DEPARTMENT OF COMMERCE  
 Patent and Trademark Office  
 Address: ASSISTANT COMMISSIONER FOR PATENTS  
 Box PCT  
 Washington, D.C. 20231

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/508713	DICKINS	G 084527-00000

ROBERT J BENNETT  
 TOWNSEND AND TOWNSEND AND CREW  
 TWO EMBARCADERO CENTER  
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INTERNATIONAL APPLICATION NO.	
PCT/AU98/00769	
I.A. FILING DATE	PRIORITY DATE
16 SEP 98	16 SEP 97
DATE MAILED: 09 MAY 2000	

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

- The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as
  - ☐ a Designated Office (37 CFR 1.494),
  - ☒ an Elected Office (37 CFR 1.495):
  - ☒ U.S. Basic National Fee.
  - ☒ Copy of the international application in:
    - ☐ a non-English language.
    - ☒ English.
  - ☐ Translation of the international application into English.
  - ☐ Oath or Declaration of inventors(s) for DO/EO/US.
  - ☐ Copy of Article 19 amendments.
  - ☐ Translation of Article 19 amendments into English.
  - ☒ The International Preliminary Examination Report in English and its Annexes, if any.
  - ☐ Translation of Annexes to the International Preliminary Examination Report into English.
  - ☒ Preliminary amendment(s) filed 15 MAR 2000 and
  - ☒ Information Disclosure Statement(s) filed 15 MAR 2000 and
  - ☐ Assignment document.
  - ☐ Power of Attorney and/or Change of Address.
  - ☐ Substitute specification filed
  - ☐ Verified Statement Claiming Small Entity Status.
  - ☒ Priority Document.
  - ☒ Copy of the International Search Report ☐ and copies of the references cited therein.
  - ☐ Other:

*Response Due 6/09/00*

TOWNSEND & TOWNSEND  
 & CREW  
 RECEIVED  
 00 MAY 15 PM 12:06

- The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:
  - Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
    - ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
  - Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
  - Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.
    - ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
  - Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).
- Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

**ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

- Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.
- ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

***A copy of this notice MUST be returned with this response.***

- Enclosed:
- ☐ PCT/DO/EO/917
  - ☐ Notice of Defective Translation
  - ☐ PTO-875
- FORM PCT/DO/EO/905 (December 1997)

Winston M Alvarado  
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